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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER 47675-163 U.S. APPLICATION NO. (if known) 10/562377 (37 CFR 1.5) |
| INTERNATIONAL APPLICATION NO. PCT/US2004/020356 | INTERNATIONAL FILING DATE 23 June 2004 (23.06.2004) | PRIORITY DATE CLAIMED 23 June 2003 (23.06.2003) |
| TITLE OF INVENTION METHODS AND NUCLEIC ACIDS FOR THE ANALYSIS OF COLORECTAL CELL PROLIFERATIVE DISORDERS | | |
| APPLICANT(S) FOR DO/EO/US LOFTON-DAY, Cathy, et al. | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | |

IAP5 Rec'd PCT/PTO 23 DEC 2005

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|--|-------------------------------------|---|--------------|---------------------------------------|--------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/562377 | | INTERNATIONAL APPLICATION NO. PCT/US2004/020356 | | ATTORNEY'S DOCKET NUMBER 47675-163 | |
| 20. Other items or information: | | | | | |
| The following fees have been submitted | | | | CALCULATIONS | PTO USE ONLY |
| 21. | <input checked="" type="checkbox"/> | Basic national fee (37 CFR 1.492(a))..... \$300 | | \$ 300.00 | |
| 22. | <input checked="" type="checkbox"/> | Examination fee (37 CFR 1.492(c)) | | \$ 200.00 | |
| If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 | | | | | |
| All other situations..... \$200 | | | | | |
| 23. | <input checked="" type="checkbox"/> | Search fee (37 CFR 1.492(b)) | | \$ 100.00 | |
| If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 | | | | | |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 | | | | | |
| International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 | | | | | |
| All other situations..... \$500 | | | | | |
| TOTAL OF 21, 22 and 23 = | | | | 600.00 | |
| <input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | \$ 0.00 | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| 52 - 100 = | 0 /50 = | 0 (Zero) | x \$250 | | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | \$ | |
| CLAIMS | | NUMBER FILED | NUMBER EXTRA | RATE | \$ |
| Total claims | | 25 - 20 = | 5 | x \$ 50 | \$ 250.00 |
| Independent claims | | 10 - 3 = | 7 | x \$200 | \$ 1400.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | + \$360 | \$ |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1650.00 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | |
| SUBTOTAL = | | | | \$ 1125.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | + | \$ |
| TOTAL NATIONAL FEE = | | | | \$ 1125.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | + | \$ |
| TOTAL FEES ENCLOSED = | | | | \$ 1125.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to be charged | \$ |

10/562377

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- a. ☒ A check in the amount of \$ 1125.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0258. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

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NAME

47,309

REGISTRATION NUMBER

10/562377

IAP5 Rec'd PCT/PTO 23 DEC 2005

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

Concerning A Filing Under 35 U.S.C 371

Applicants for the DO/EO/US:

Cathy Lofton-Day, Andrew Sledziewski, Jeff Thomas, Robert W. Day,
Lori Tonnes-Priddy, Karen Cardon

Title:

METHODS AND NUCLEIC ACIDS FOR THE ANALYSIS OF
COLORECTAL CELL PROLIFERATIVE DISORDERS

From International

Application No.: PCT/US2004/020356

U.S. Application No.: to be assigned

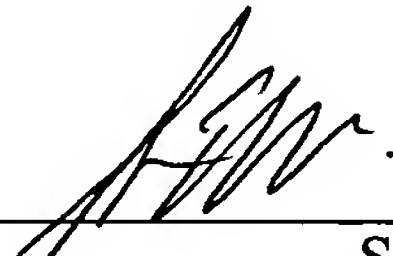
Attorney Docket No.: 47675-163

Date of Deposit: 23 December 2005 (23.12.2005)

EXPRESS MAIL LABEL NUMBER: EV790693797US

I hereby certify that this Certificate, along with accompanying Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C 371, Preliminary Amendment, labeled Sequence Listing Floppy Discs (2), Sequence Listing on paper (212 sheets), Sequence Listing Transmittal (1p.), Statement under 37 CFR 1.821 (1p.), a check in the amount of US\$ 1,125.00, and return postcard receipt, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 ("Express Mail Service") on December 23, 2005 via Express Mail Label Number EV790693797US, and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450. Postage and fees for mailing are paid through Corporate Account, indicated on the Express Mail package.

Date December 23, 2005



Signature

Steven E. Welch
Printed Name